

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
15 August 2002 (15.08.2002)

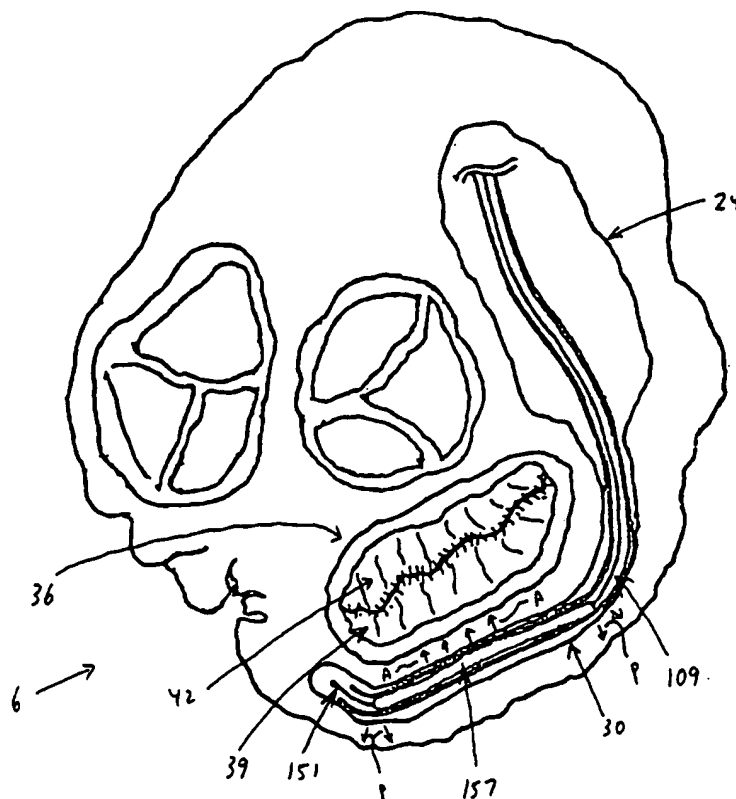
PCT

(10) International Publication Number
WO 02/062408 A2

- (51) International Patent Classification⁷: **A61M** 60/348,424 14 January 2002 (14.01.2002) US
- (21) International Application Number: PCT/US02/03550 (71) Applicant: **VIACOR, INC.** [US/US]; 66 H Concord Street, Wilmington, MA 01887 (US).
- (22) International Filing Date: 5 February 2002 (05.02.2002)
- (25) Filing Language: English (72) Inventors: **TAYLOR, Daniel, C.**; 35 Faneuil Street, Brighton, MA 02135 (US). **LIDDICOAT, John, R.**; Barberry Farm, Barberry Road, Sewickley, PA 15143 (US). **STREETER, Richard, B.**; 66 Brookside Avenue, Winchester, MA 01890 (US). **WOOLFSON, Steven, B.**; 85 East India Road, #39G, Boston, MA 02110 (US). **COHN, William, E.**; 104 Lagrange Street, Chestnut Hill, MA 02167 (US). **DAVENPORT, Todd, F.**; 48 Salem Street, Andover, MA 01801 (US).
- (26) Publication Language: English (74) Agent: **PANDISCIO, Mark, J.**; Pandiscio & Pandiscio, 470 Totten Pond Road, Waltham, MA 02154-1914 (US).
- (30) Priority Data:
- | | | |
|------------|------------------------------|----|
| 60/266,766 | 5 February 2001 (05.02.2001) | US |
| 60/273,893 | 5 March 2001 (05.03.2001) | US |
| 60/278,153 | 23 March 2001 (23.03.2001) | US |
| 60/279,974 | 29 March 2001 (29.03.2001) | US |
| 60/279,973 | 29 March 2001 (29.03.2001) | US |
| 60/280,038 | 30 March 2001 (30.03.2001) | US |
| 60/283,820 | 13 April 2001 (13.04.2001) | US |
| 60/312,217 | 14 August 2001 (14.08.2001) | US |
| 60/339,481 | 26 October 2001 (26.10.2001) | US |
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION



(57) Abstract: A method and apparatus for reducing mitral regurgitation. The apparatus is inserted into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to straighten the natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation and reduce mitral regurgitation.

WO 02/062408 A2

(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHOD AND APPARATUS FOR
IMPROVING MITRAL VALVE FUNCTION

Reference To Pending Prior Patent Applications

5 This patent application claims benefit of:

 (1) pending prior U.S. Provisional Patent
Application Serial No. 60/266,766, filed 02/05/01 by
William E. Cohn et al. for TRANSVASCULAR APPROACH TO
MITRAL VALVE PROCEDURES (Attorney's Docket No. VIA-16
10 PROV);

 (2) pending prior U.S. Provisional Patent
Application Serial No. 60/273,893, filed 03/05/01 by
William E. Cohn et al. for TRANSVASCULAR METHODS AND
DEVICES FOR MITRAL VALVE PROCEDURES (Attorney's Docket
15 No. VIA-17 PROV);

 (3) pending prior U.S. Provisional Patent
Application Serial No. 60/278,153, filed 03/23/01 by
William E. Cohn et al. for METHOD AND APPPARATUS TO
IMPROVE MITRAL VALVE FUNCTION (Attorney's Docket No.
20 VIA-18 PROV);

- 2 -

(4) pending prior U.S. Provisional Patent
Application Serial No. 60/279,974, filed 03/29/01 by
Daniel C. Taylor et al. for METHOD AND APPARATUS TO
IMPROVE MITRAL VALVE FUNCTION (Attorney's Docket No.
5 VIA-19 PROV);

(5) pending prior U.S. Provisional Patent
Application Serial No. 60/280,038, filed 03/30/01 by
William E. Cohn et al. for METHODS AND APPARATUS FOR
TEMPORARY IMPROVEMENT IN MITRAL VALVE FUNCTION
10 (Attorney's Docket No. VIA-20 PROV);

(6) pending prior U.S. Provisional Patent
Application Serial No. 60/279,973, filed 03/29/01 by
Daniel C. Taylor et al. for METHODS AND DEVICES TO
IMPROVE MITRAL VALVE FUNCTION (Attorney's Docket No.
15 VIA-21 PROV);

(7) pending prior U.S. Provisional Patent
Application Serial No. 60/283,820, filed 04/13/01 by
William E. Cohn et al. for METHOD AND APPARATUS FOR
TEMPORARY IMPROVEMENT IN MITRAL VALVE FUNCTION
20 (Attorney's Docket No. VIA-22 PROV);

- 3 -

(8) pending prior U.S. Provisional Patent Application Serial No. 60/312,217, filed 08/14/01 by Daniel C. Taylor et al. for METHOD AND APPARATUS FOR TEMPORARY IMPROVEMENT IN MITRAL VALVE FUNCTION

5 (Attorney's Docket No. VIA-23 PROV);

(9) pending prior U.S. Provisional Patent Application Serial No. 60/339,481, filed 10/26/01 by William E. Cohn et al. for TRANSVASCULAR APPROACH TO MITRAL VALVE PROCEDURES (Attorney's Docket No.

10 VIA-30 PROV); and

(10) pending prior U.S. Provisional Patent Application Serial No. 60/348,424, filed 01/14/02 by Daniel C. Taylor et al. for METHOD AND APPARATUS TO IMPROVE MITRAL VALVE FUNCTION (Attorney's Docket No.

15 VIA-31 PROV).

The aforementioned ten (10) patent applications are hereby incorporated herein by reference.

Field Of The Invention

20 This invention relates to surgical methods and apparatus in general, and more particularly to

- 4 -

surgical methods and apparatus for improving mitral valve function.

Background Of The Invention

5 Mitral valve repair is the procedure of choice to correct mitral regurgitation of all etiologies. With the use of current surgical techniques, between 70% and 95% of regurgitant mitral valves can be repaired. The advantages of mitral valve repair over mitral
10 valve replacement are well documented. These include better preservation of cardiac function and reduced risk of anticoagulant-related hemorrhage, thromboembolism and endocarditis.

In current practice, mitral valve surgery
15 requires an extremely invasive approach that includes a chest wall incision, cardiopulmonary bypass, cardiac and pulmonary arrest, and an incision on the heart itself to gain access to the mitral valve. Such a procedure is associated with high morbidity and
20 mortality. Due to the risk associated with this procedure, many of the sickest patients are denied the

- 5 -

potential benefits of surgical correction of mitral regurgitation. In addition, patients with moderate, symptomatic mitral regurgitation are denied early intervention and undergo surgical correction only after the development of cardiac dysfunction.

Mitral regurgitation is a common occurrence in patients with heart failure and a source of important morbidity and mortality in these patients. Mitral regurgitation in patients with heart failure is caused by changes in the geometric configurations of the left ventricle, papillary muscles and mitral annulus. These geometric alterations result in incomplete coaptation of the mitral leaflets at systole. In this situation, mitral regurgitation is corrected by plicating the mitral valve annulus, either by sutures alone or by sutures in combination with a support ring, so as to reduce the circumference of the distended annulus and restore the original geometry of the mitral valve annulus.

More particularly, current surgical practice for mitral valve repair generally requires that the mitral

- 6 -

valve annulus be reduced in radius by surgically opening the left atrium and then fixing sutures, or more commonly sutures in combination with a support ring, to the internal surface of the annulus; this
5 structure is used to cinch the annulus, in a pursestring-like fashion, to a smaller radius, thereby reducing mitral regurgitation by improving leaflet coaptation.

This method of mitral valve repair, generally
10 termed "annuloplasty", effectively reduces mitral regurgitation in heart failure patients. This, in turn, reduces symptoms of heart failure, improves quality of life and increases longevity.
Unfortunately, however, the invasive nature of mitral
15 valve surgery and the attendant risks render most heart failure patients poor surgical candidates.
Thus, a less invasive means to increase leaflet coaptation and thereby reduce mitral regurgitation in heart failure patients would make this therapy
20 available to a much greater percentage of patients.

- 7 -

Mitral regurgitation also occurs in approximately 20% of patients suffering acute myocardial infarction. In addition, mitral regurgitation is the primary cause of cardiogenic shock in approximately 10% of patients who develop severe hemodynamic instability in the setting of acute myocardial infarction. Patients with mitral regurgitation and cardiogenic shock have about a 50% hospital mortality. Elimination of mitral regurgitation in these patients would be of significant benefit. Unfortunately, however, patients with acute mitral regurgitation complicating acute myocardial infarction are particularly high-risk surgical candidates, and are therefore not good candidates for a traditional annuloplasty procedure. Thus, a minimally invasive means to effect a temporary reduction or elimination of mitral regurgitation in these critically ill patients would afford them the time to recover from the myocardial infarction or other acute life-threatening events and make them better candidates for medical, interventional or surgical therapy.

- 8 -

Summary Of The Invention

As a result, one object of the present invention is to provide an improved method and apparatus for
5 reducing mitral regurgitation.

Another object of the present invention is to provide a method and apparatus for reducing mitral regurgitation which is minimally invasive.

Another object of the present invention is to
10 provide a method and apparatus for reducing mitral regurgitation which can be deployed either permanently (e.g., for patients suffering from heart failure) or temporarily (e.g., for patients suffering from mitral regurgitation with acute myocardial infarction).

15 These and other objects are addressed by the present invention, which comprises an improved method and apparatus for reducing mitral regurgitation.

In one form of the invention, there is provided a method for reducing mitral regurgitation comprising:

20 inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of

- 9 -

the mitral valve, the apparatus being adapted to
straighten the natural curvature of at least a portion
of the coronary sinus in the vicinity of the posterior
leaflet of the mitral valve, whereby to move the
5 posterior annulus anteriorly and thereby improve
leaflet coaptation.

In another form of the invention, there is
provided a method for reducing mitral regurgitation
comprising:

10 inserting apparatus into the coronary sinus of a
patient in the vicinity of the posterior leaflet of
the mitral valve, the apparatus being adapted to move
at least a portion of the coronary sinus in the
vicinity of the posterior leaflet of the mitral valve
15 anteriorly, whereby to move the posterior annulus
anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is
provided a method for reducing mitral regurgitation
comprising:

20 inserting apparatus into the coronary sinus of a
patient in the vicinity of the posterior leaflet of

- 10 -

the mitral valve, the apparatus being adapted to reduce the degree of natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, whereby to move
5 the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising:

10 inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to increase the natural radius of curvature of at least a portion of the coronary sinus in the vicinity of the
15 posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation
20 comprising:

- 11 -

inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus having a distal end, a proximal end and an intermediate portion, the

5 apparatus being configured so that when the apparatus is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, the distal and proximal ends will apply a posteriorly-directed force to the walls of the coronary sinus and the

10 intermediate portion will apply an anteriorly-directed force to the walls of the coronary sinus, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is

15 provided a method for reducing mitral regurgitation comprising:

inserting a substantially straight elongated body into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the

20 length of the substantially straight elongated body being sized relative to the natural curvature of the

- 12 -

coronary sinus in the vicinity of the posterior
leaflet of the mitral valve so that when the
substantially straight elongated body is positioned in
the coronary sinus, it will cause at least a portion
5 of the coronary sinus to assume a substantially
straight configuration adjacent to the posterior
leaflet of the mitral valve, whereby to increase the
radius of curvature of the mitral annulus and thereby
improve leaflet coaptation.

10 In another form of the invention, there is
provided a method for reducing mitral regurgitation
comprising:

inserting a substantially rigid elongated body
into the coronary sinus of a patient in the vicinity
15 of the posterior leaflet of the mitral valve, the
substantially rigid elongated body being configured
relative to the natural curvature of the coronary
sinus in the vicinity of the posterior leaflet of the
mitral valve so that when the substantially rigid
20 elongated body is positioned in the coronary sinus, it
will cause at least a portion of the coronary sinus to

- 13 -

assume a different configuration adjacent to the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

5 In another form of the invention, there is provided a method for reducing mitral regurgitation comprising:

 inserting a straight, substantially rigid elongated body into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the straight, substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus and thereby improve leaflet coaptation.

10
15
20

- 14 -

In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising:

5 a body having a distal end, a proximal end and an intermediate portion, the body being configured so that when the body is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, the distal and proximal ends will apply a posteriorly-directed force to the walls of the
10 coronary sinus, and the intermediate portion will apply an anteriorly-directed force to the walls of the coronary sinus, whereby to move the posterior annulus of the mitral valve anteriorly and thereby improve leaflet coaptation.

15 In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising:

a substantially straight elongated body adapted to be inserted into the coronary sinus of a patient in
20 the vicinity of the posterior leaflet of the mitral valve, the length of the substantially straight

- 15 -

elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially straight elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus, moving it anteriorly, and thereby improve leaflet coaptation.

In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising:

a substantially rigid elongated body adapted to be inserted into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially rigid elongated body is positioned in

- 16 -

the coronary sinus, it will cause at least a portion
of the coronary sinus to assume a different
configuration adjacent to the posterior leaflet of the
mitral valve, whereby to move the posterior annulus
5 anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is
provided an apparatus for reducing mitral
regurgitation comprising:

a straight, substantially rigid elongated body
10 adapted to be inserted into the coronary sinus of a
patient in the vicinity of the posterior leaflet of
the mitral valve, the length of the straight,
substantially rigid elongated body being sized
relative to the natural curvature of the coronary
15 sinus in the vicinity of the posterior leaflet of the
mitral valve so that when the straight, substantially
rigid elongated body is positioned in the coronary
sinus, it will cause at least a portion of the
coronary sinus to assume a substantially straight
20 configuration adjacent to the posterior leaflet of the
mitral valve, whereby to increase the radius of

- 17 -

curvature of the mitral annulus, moving it anteriorly,
and thereby improve leaflet coaptation.

Significantly, the present invention may be
practiced in a minimally invasive manner, either
5 permanently or temporarily, so as to reduce mitral
regurgitation.

Brief Description Of The Drawings

These and other objects and features of the
10 present invention will be more fully disclosed or
rendered obvious by the following detailed description
of the preferred embodiments of the invention, which
is to be considered together with the accompanying
drawings wherein like numbers refer to like parts and
15 further wherein:

Fig. 1 is a schematic view of portions of the
human vascular system;

Fig. 2 is a schematic view of portions of the
human heart;

20 Fig. 3 is a schematic view of a preferred system
formed in accordance with the present invention;

- 18 -

Figs. 4-7 are a series of views illustrating use of the system of Fig. 3 to reduce mitral regurgitation;

5 Fig. 8 shows an alternative form of delivery catheter;

Fig. 9 shows an alternative form of flexible push rod;

Fig. 9A shows another alternative form of the present invention;

10 Figs. 10 and 11 show alternative constructions for the straight, substantially rigid elongated body;

Fig. 12 shows an alternative system formed in accordance with the present invention; and

15 Fig. 13 shows use of the system shown in Fig. 12.

Detailed Description Of The Preferred Embodiments

The coronary sinus is the largest vein in the human heart. During a large portion of its course in the atrioventricular groove, the coronary sinus typically extends adjacent to the left atrium of the heart for a distance of approximately 5 to 10

20

- 19 -

centimeters. Significantly, for a portion of its length, e.g., typically approximately 7-9 cm, the coronary sinus extends substantially adjacent to the posterior perimeter of the mitral annulus. The present invention takes advantage of this fact. More particularly, by deploying novel apparatus in the coronary sinus, adjacent to the posterior leaflet of the mitral valve, the natural curvature of the coronary sinus may be modified in the vicinity of the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly so as to improve leaflet coaptation and, as a result, reduce mitral regurgitation.

In one preferred embodiment of the invention, the novel apparatus comprises a straight, substantially rigid elongated body, the length of the straight, substantially rigid elongated body being sized so that when the straight, substantially rigid body is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, the straight, substantially rigid elongated body will

- 20 -

cause at least a portion of the coronary sinus to
assume a substantially straight configuration adjacent
to the posterior leaflet of the mitral valve, whereby
to move the posterior annulus anteriorly and thereby
5 improve leaflet coaptation.

And in one preferred embodiment of the invention,
access to the coronary sinus is gained percutaneously,
e.g., the straight, substantially rigid elongated body
is introduced into the patient's vascular system via
10 the jugular vein or via the left subclavian vein,
passed down the superior vena cava, passed through the
right atrium and then passed into the coronary sinus,
where it is deployed. Alternatively, the straight,
substantially rigid elongated body may be introduced
15 into the coronary sinus through a small incision in
the heart, or through some other incision into the
patient's vascular system.

And in one preferred embodiment of the invention,
the straight, substantially rigid elongated body is
20 guided into position by (i) passing it through a

- 21 -

pre-positioned catheter, or (ii) passing it over a pre-positioned guidewire, or (iii) passing it guide-free (e.g., on the end of a steerable delivery tool) to the surgical site.

5 Once deployed, the novel apparatus may be left in position permanently (e.g., in the case of patients suffering from mitral regurgitation associated with heart failure) or the novel apparatus may be left in position only temporarily (e.g., in the case of
10 patients suffering from mitral regurgitation associated with acute myocardial infarction).

Visualization of the procedure may be obtained by fluoroscopy, echocardiography, intravascular ultrasound, angioscopy, real-time magnetic resonance
15 imaging, etc. The efficacy of the procedure may be determined through echocardiography, although other imaging modalities may also be suitable.

Looking now at Fig. 1, there are shown aspects of the cardiovascular system 3 of a patient. More
20 particularly, cardiovascular system 3 generally comprises the heart 6, the superior vena cava 9, the

- 22 -

right subclavian vein 12, the left subclavian vein 15,
the jugular vein 18, and the inferior vena cava 21.

Superior vena cava 9 and inferior vena cava 21

communicate with the heart's right atrium 24. The

5 coronary ostium 27 leads to coronary sinus 30. At the
far end 31 (Fig. 2) of coronary sinus 30, the vascular
structure turns into the vertically-descending
anterior interventricular vein ("AIV") 32

(see Fig. 1). For purposes of the present invention,

10 it can generally be convenient to consider the term
"coronary sinus" to mean the vascular structure
extending between coronary ostium 27 and AIV 32.

As seen in Fig. 2, between coronary ostium 27 and
AIV 32, coronary sinus 30 generally extends

15 substantially adjacent to the posterior perimeter of
the annulus 33 of the mitral valve 36. Mitral valve
36 comprises a posterior leaflet 39 and an anterior
leaflet 42. In the case of a regurgitant mitral
valve, posterior leaflet 39 and anterior leaflet 42
20 will generally fail to properly coapt at systole,

- 23 -

thereby leaving an intervening gap 45 which will permit regurgitation.

Looking next at Fig. 3, there is shown a system 100 which comprises one preferred embodiment of the present invention. More particularly, system 100 generally comprises a guidewire 103, a delivery catheter 106 and a push rod 109.

Guidewire 103 comprises a flexible body 112 having a distal end 115 and a proximal end 118. The distal end 115 of guidewire 103 preferably includes a spring tip 121 for allowing the distal end of guidewire 106 to atraumatically traverse vascular structures, i.e., while the guidewire is being passed through the vascular system of a patient.

Delivery catheter 106 comprises a flexible body 124 having a distal end 127 and a proximal end 130, preferably with an adjustable valve 133 attached. A central lumen 136 extends from distal end 127 to proximal end 130. In some circumstances it may be desirable to provide a securing mechanism for securing the distal end of the delivery catheter within a

- 24 -

vascular structure. By way of example but not limitation, a balloon 139 may be positioned about the exterior of flexible body 124, just proximal to distal end 127, with an inflation lumen 142 extending between balloon 139 and an inflation fitting 145.

Push rod 109 comprises a flexible body 148 having a distal end 151 and a proximal end 154. A straight, substantially rigid elongated body 157, which may have a variety of different lengths, is formed on flexible body 148, proximal to distal end 151. A removable proximal stiffener or handle 160 may be placed between straight, substantially rigid elongated body 157 and proximal end 154.

System 100 may be used as follows to reduce mitral regurgitation.

First, distal end 115 of guidewire 103 is passed down the jugular vein 18 (or the left subclavian vein 15) of a patient, down superior vena cava 9, through right atrium 24 of the heart, and then into coronary sinus 30. See Fig. 4. It will be appreciated that as flexible guidewire 103 is passed down coronary sinus

- 25 -

30, the guidewire will tend to assume the natural curved shape of the coronary sinus, due to the flexible nature of the guidewire. The guidewire's atraumatic spring tip 121 will help ensure minimal damage to vascular structures as guidewire 103 is maneuvered into position.

Next, distal end 127 of delivery catheter 106 is placed over proximal end 118 of guidewire 103 and passed down the guidewire until the distal end of the delivery catheter is positioned in coronary sinus 30. See Fig. 5. Again, it will be appreciated that as the flexible delivery catheter 106 passes down the coronary sinus, the delivery catheter will tend to assume the natural curved shape of the coronary sinus, due to the flexible nature of the delivery catheter.

Once delivery catheter 106 has been positioned within the coronary sinus, guidewire 103 is removed. See Fig. 6. Either before or after guidewire 103 is removed, balloon 139 may be inflated so as to secure distal end 127 of delivery catheter 106 in position within coronary sinus 30.

- 26 -

Next, push rod 109 is passed down the central lumen 136 of delivery catheter 106. As the push rod's straight, substantially rigid elongated body 157 is passed down central lumen 136 of delivery catheter 106, it will force the delivery catheter to assume a straight configuration at the point where the straight, substantially rigid elongated body 157 currently resides. As push rod 109 is pushed down delivery catheter 106, balloon 139 will hold the distal end of the delivery catheter in position within coronary sinus 30.

Push rod 109 is pushed down delivery catheter 106, utilizing removable proximal stiffener 160 as needed, until the straight, substantially rigid elongated body 157 is located adjacent to the posterior annulus of mitral valve 36. See Fig. 7. As this occurs, the presence of the straight, substantially rigid elongated body 157 in delivery catheter 106 will cause at least a portion of coronary sinus 30 to assume a substantially straight configuration at this point, so that the posterior

- 27 -

annulus of mitral valve 36 is forced anteriorly. This will cause the mitral valve's posterior leaflet 39 to also move anteriorly so as to improve mitral valve leaflet coaptation and thereby reduce (or completely eliminate) mitral valve regurgitation. In this respect it should be appreciated that the posterior annulus may be shifted anteriorly so as to achieve, or to attempt to achieve to the extent anatomically possible, leaflet-to-leaflet engagement or leaflet-to-annulus engagement (e.g., where a leaflet may be tethered due to left ventricular distortion). Both of these types of engagement, or targeted engagement, are intended to be encompassed by the terms "improved leaflet coaptation" and/or "increased leaflet coaptation" and the like. Using standard visualization means (e.g. echocardiography or fluoroscopy), the exact position of the straight, substantially rigid elongated body 157 is adjusted so as to reduce (or completely eliminate) regurgitation in mitral valve 36.

- 28 -

In this respect it should be appreciated that the straight, substantially rigid elongated body 157 is preferably sized to be somewhat less than the length of the coronary sinus between coronary ostium 27 and AIV 32. However, in some circumstances it may be desirable to size the straight, substantially rigid elongated body 157 so that it will extend out of the coronary sinus and into the right atrium.

Furthermore, it should also be appreciated that the system provides a degree of tactile feedback to the user during deployment. More particularly, substantial resistance will typically be encountered as the straight, substantially rigid elongated body 157 is pushed out of right atrium 24 and into coronary sinus 30; then resistance will typically drop as body 157 is moved through the coronary sinus; and then resistance will typically increase significantly again as the distal tip of body 157 comes to the far end 31 of the coronary sinus. Thus, there is a sort of tactile "sweet spot" when the straight, substantially rigid elongated body 157 is located in the coronary

- 29 -

sinus between coronary ostium 27 and AIV 32, and this tactile "sweet spot" can be helpful to the user in positioning the straight, substantially rigid elongated body 157 in coronary sinus 30.

5 At this point the straight, substantially rigid elongated body 157 is locked in position, e.g., by closing adjustable valve 133, and balloon 139 may be deflated.

10 System 100 is left in this position until it is no longer needed. In some cases this may mean that system 100 is left in position for a period of a few hours, days or weeks; in other cases system 100 may be substantially permanent. If and when system 100 is to be removed, push rod 109 is removed from delivery
15 catheter 106, and then delivery catheter 106 is removed from the patient.

20 Thus it will be seen that with the present invention, the straight, substantially rigid elongated body 157 is essentially force-fit into the normally curved portion of the coronary sinus adjacent to the mitral valve's posterior leaflet. By properly sizing

- 30 -

the length of the straight, substantially rigid elongated body 157 relative to the natural curvature of the patient's anatomy, and by properly positioning the straight, substantially rigid elongated body 157 in the patient's coronary sinus, the straight, substantially rigid elongated body will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve. This action will in turn drive the posterior annulus of the mitral valve anteriorly, so as to improve leaflet coaptation and thereby reduce mitral regurgitation. Thus, by inserting the straight, substantially rigid elongated body 157 into the coronary sinus adjacent to the posterior leaflet of the mitral valve, the annulus of the mitral valve is effectively manipulated so that it will assume an increased radius of curvature.

It has also been found that by inserting the straight, substantially rigid elongated body into the coronary sinus adjacent to the posterior leaflet of the mitral valve, the left ventricle may also be

- 31 -

remodeled so as to help alleviate congestive heart failure.

It is significant to note that with the present invention, the distal and proximal ends of straight, substantially rigid elongated body 157 apply a posteriorly-directed force on the walls of coronary sinus 30 (e.g., as shown with arrows P in Fig. 7) while the intermediate portion of straight, substantially rigid elongated body 157 applies an anteriorly-directed force on the walls of coronary sinus 30 (e.g., as shown with arrows A in Fig. 7).

In some cases the proximal end 130 of delivery catheter 106 may be fixed to the patient's outer skin using standard patient care methods such as adhesive tape, pursestring sutures, skin staples, etc. In other cases proximal end 130 of delivery catheter 106 may include a sewing cuff whereby the delivery catheter may be secured to the patient's tissue by suturing. See, for example, Fig. 8, where a sewing cuff 166 is shown attached to the proximal end 130 of delivery catheter 106. If desired, an element 169 may

- 32 -

be provided proximal to adjustable valve 133, whereby flexible push rod 109 may be made fast to delivery catheter 106. By way of example, element 169 may comprise a crimpable element to secure flexible push rod 109 to delivery catheter 106, which is in turn secured to the patient. If desired, the proximal end of the assembly may be embedded under the skin of the patient, e.g., in the case of a permanent implant.

As noted above, it can be helpful to anchor the distal end of delivery catheter 106 in position within the coronary sinus prior to pushing push rod 109 into the delivery catheter. Such an arrangement will keep the delivery catheter in place as the push rod makes the turn within the right atrium and enters the coronary sinus. In the absence of such anchoring, the push rod may drive the delivery catheter down the inferior vena cava 21. By securing the distal end of delivery catheter 106 to the walls of coronary sinus 30, the delivery catheter can be stabilized against diversion down the inferior vena cava 21 when the straight, substantially rigid elongate body 157

- 33 -

encounters initial resistance to making the turn into the coronary sinus.

The balloon 139 is one way of accomplishing such anchoring. However, it is also possible to utilize other types of securing mechanisms to anchor the distal end 127 of delivery catheter 106 in position within coronary sinus 30, e.g., spring clips, ribs, etc.

Alternatively, and looking next at Fig. 9, the distal end 151 of push rod 109 may itself be provided with a distal anchor, e.g., such as the distal anchor 172 shown in Fig. 9.

It is also possible to prevent diversion of delivery catheter 106 down inferior vena cava 21 without anchoring the distal end of delivery catheter 106 or flexible push rod 109 to the walls of the coronary sinus. More particularly, and looking now at Fig. 9A, there is shown a support catheter 173 which is formed out of a more rigid material than delivery catheter 106. Support catheter 173 is constructed so that its distal end 174 can be positioned in coronary

- 34 -

ostium 27 and then its sidewall 174A can support
delivery catheter 106 adjacent to inferior vena cava
21 when push rod 109 is passed down delivery catheter
106, whereby to prevent delivery catheter 106 from
5 diverting down inferior vena cava 106. Fig. 9A also
shows an introducer catheter 174B at the entrance to
jugular vein 18.

As noted above, as push rod 109 is advanced to
the region adjacent to the posterior annulus of the
10 mitral valve, the straight, substantially rigid
elongated body 157 will distort the natural
configuration of the coronary sinus so that it will
assume a substantially straight configuration. While
this action induces the desired valve remodeling, it
15 can also induce a significant stress on the walls of
the coronary sinus, particularly at the distal and
proximal ends of the straight, substantially rigid
elongated body 157, where stress will be concentrated.
To this end, the construction of the straight,
20 substantially rigid elongated body 157 may be modified
somewhat so as to better distribute this stress. More

- 35 -

particularly, and looking next at Fig. 10, the distal and proximal ends of straight, substantially rigid elongated body 157 may include relatively flexible portions 175 to help better distribute the stress exerted on the walls of the coronary sinus.

5 Additionally, and/or alternatively, any taper applied to the distal and proximal ends of straight, substantially rigid elongated body 157 may be elongated, e.g., such as shown at 178 in Fig. 11, so as to better distribute the stress imposed on the walls of the coronary sinus.

10 Looking next at Fig. 12, there is shown a system 181 which comprises another preferred embodiment of the present invention. More particularly, system 181 generally comprises the guidewire 103, a straight, substantially rigid elongated body 184 and a push cannula 187.

15 Guidewire 103 is, as previously described.

20 Straight, substantially rigid elongated body 184, which may have a variety of different lengths, comprises a distal end 188 and a proximal end 190. A

- 36 -

central lumen 193 extends between distal end 188 and proximal end 190. Central lumen 193 accommodates guidewire 103.

5 Push cannula 187 comprises a distal end 194 and a proximal end 196. A central lumen 199 extends between distal end 194 and proximal end 196. Central lumen 199 accommodates guidewire 103.

System 181 may be used as follows to reduce mitral regurgitation.

10 First, distal end 115 of guidewire 103 is passed down jugular vein 18 (or the left subclavian vein 15) of a patient, down superior vena cava 9, through right atrium 24 of the heart, and into coronary sinus 30. It will be appreciated that as flexible guidewire 103
15 is passed down coronary sinus 30, the guidewire will tend to assume the natural curved shape of the coronary sinus, due to the flexible nature of the guidewire. The guidewire's atraumatic spring tip 121 will help minimize damage to vascular structures as
20 the guidewire is advanced into position.

- 37 -

Next, distal end 188 of straight, substantially rigid elongated body 184 is placed over proximal end 118 of guidewire 103 and passed a short distance down the guidewire. Then the distal end 194 of push cannula 187 is placed over proximal end 118 of guidewire 103, and then push cannula 187 is advanced down the guidewire. As push cannula 187 is advanced down the guidewire, its distal end 194 pushes the straight, substantially rigid elongated body 184 ahead of it. See Fig. 13.

As the straight, substantially rigid elongated body 184 is passed down the coronary sinus, it will force the coronary sinus to assume a straight configuration at the point where the straight, substantially rigid elongated body 184 currently resides. Push cannula 187 is pushed down guidewire as needed, until the straight, substantially rigid elongated body 184 is located adjacent to the posterior annulus of the mitral valve. As this occurs, the presence of the straight, substantially rigid elongated body 184 in the coronary sinus will

- 38 -

cause coronary sinus to assume a substantially straight configuration at this point, so that the posterior annulus of the mitral valve is forced anteriorly. This will cause the posterior mitral valve leaflet to also move anteriorly so as to improve leaflet coaptation and thereby reduce (or completely eliminate) mitral valve regurgitation. Using standard visualization means (e.g. echocardiography or fluoroscopy), the exact position of the straight, substantially rigid elongated body may be adjusted so as to reduce (or completely eliminate) regurgitation in the mitral valve.

If desired, the push cannula 187 may be provided with a releasably attachable interface (e.g., a grasper) so that it may releasably secure the proximal end 190 of the straight, substantially rigid elongated body 184. Such a feature will permit the straight, substantially rigid elongated body to be pulled backward within the coronary sinus, either for positioning or removal purposes.

- 39 -

Alternatively, elongated body 184 or 157 may have any of a variety of non-straight shapes along its length. For example, the elongated body may be wavy, spiraled, or curved along all or a portion of its length. By way of example, elongated body 157 and/or 184 may have a curved configuration so as to invert the natural curvature of the coronary sinus, i.e., so that it is bowed towards the anterior annulus. Or the elongated body may have a compound shape along its length, e.g., it may have a sort of "w" shape, with the center of the "w" being directed towards the anterior annulus. Any of these or other alternate shapes may effect the anterior displacement of the posterior annulus that results in reduction of the mitral valve regurgitation.

In other alternative embodiments, the elongated body may be flexible along at least a portion of its length. Regional flexibility and regional stiffness may allow for straightening of select locations of the coronary sinus and corresponding locations of the posterior mitral annulus. This can cause regions of

- 40 -

the mitral annulus to move anteriorly, thus causing regional improvements in leaflet coaptation. In addition, the elongated body may be formed by two end segments connected together by a filament: by
5 anchoring the two end segments relative to the anatomy and pulling the filament taught, the naturally curved wall of the coronary sinus can be straightened, whereby to move the posterior mitral annulus anteriorly and thereby reduce mitral regurgitation.

10 It is to be understood that the present invention is by no means limited to the particular constructions herein disclosed and/or shown in the drawings, but also comprises any modifications or equivalents within the scope of the claims.

15

- 41 -

What Is Claimed Is:

1. A method for reducing mitral regurgitation comprising:

5 inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to straighten the natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior
10 leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

2. A method for reducing mitral regurgitation comprising:

15 inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to move at least a portion of the coronary sinus in the
20 vicinity of the posterior leaflet of the mitral valve

- 42 -

anteriorly, whereby to move the posterior annulus
anteriorly and thereby improve leaflet coaptation.

3. A method for reducing mitral regurgitation
5 comprising:

inserting apparatus into the coronary sinus of a
patient in the vicinity of the posterior leaflet of
the mitral valve, the apparatus being adapted to
reduce the degree of natural curvature of at least a
10 portion of the coronary sinus in the vicinity of the
posterior leaflet of the mitral valve, whereby to move
the posterior annulus anteriorly and thereby improve
leaflet coaptation.

15 4. A method for reducing mitral regurgitation
comprising:

inserting apparatus into the coronary sinus of a
patient in the vicinity of the posterior leaflet of
the mitral valve, the apparatus being adapted to
20 increase the natural radius of curvature of at least a
portion of the coronary sinus in the vicinity of the

- 43 -

posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

5 5. A method for reducing mitral regurgitation comprising:

 inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus having a distal end, a proximal end and an intermediate portion, the
10 apparatus being configured so that when the apparatus is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, the distal and proximal ends will apply a posteriorly-directed
15 force to the walls of the coronary sinus and the intermediate portion will apply an anteriorly-directed force to the walls of the coronary sinus, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

20

- 44 -

6. A method for reducing mitral regurgitation comprising:

5 inserting a substantially straight elongated body into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the substantially straight elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the
10 substantially straight elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the
15 radius of curvature of the mitral annulus and thereby improve leaflet coaptation.

7. A method for reducing mitral regurgitation comprising:

20 inserting a substantially rigid elongated body into the coronary sinus of a patient in the vicinity

- 45 -

of the posterior leaflet of the mitral valve, the substantially rigid elongated body being configured relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a different configuration adjacent to the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

8. A method for reducing mitral regurgitation comprising:

inserting a straight, substantially rigid elongated body into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the

- 46 -

straight, substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus and thereby improve leaflet coaptation.

9. A method according to claim 8 wherein the straight, substantially rigid elongated body is inserted into the coronary sinus percutaneously.

10. A method according to claim 9 wherein the straight, substantially rigid elongated body is inserted into the coronary sinus by introducing the straight, substantially rigid elongated body into the patient's jugular vein, passing it down the superior vena cava, passing it through the right atrium and then passing it into the coronary sinus.

- 47 -

11. A method according to claim 9 wherein the
straight, substantially rigid elongated body is
inserted into the coronary sinus by introducing the
straight, substantially rigid elongated body into the
5 patient's left subclavian vein, passing it down the
superior vena cava, passing it through the right
atrium and then passing it into the coronary sinus.

12. A method according to claim 8 wherein the
10 straight, substantially rigid elongated body is
inserted into the coronary sinus through an incision
in the patient's heart.

13. A method according to claim 8 wherein the
15 straight, substantially rigid elongated body is guided
into position by passing it through a
pre-positioned delivery catheter.

14. A method according to claim 13 wherein the
20 straight, substantially rigid elongated body is guided
into position by inserting a guidewire into the

- 48 -

coronary sinus, passing the delivery catheter over the guidewire and into the coronary sinus, removing the guidewire, and then passing the straight, substantially rigid elongated body down the delivery catheter.

5

15. A method according to claim 8 wherein the straight, substantially rigid elongated body is guided into position by passing it over a pre-positioned guidewire.

10

16. A method according to claim 15 wherein the straight, substantially rigid elongated body is guided into position by inserting a guidewire into the coronary sinus and then passing the straight, substantially rigid elongated body down the guidewire.

15

17. A method according to claim 8 further comprising the subsequent step of removing the straight, substantially rigid elongated body from the coronary sinus.

20

- 49 -

18. A method according to claim 8 wherein the straight, substantially rigid elongated body is inserted under visualization.

5

19. A method according to claim 18 wherein visualization is achieved by using a procedure chosen from the group consisting of fluoroscopy, echocardiography, intravascular ultrasound, angioscopy and real-time magnetic resonance imaging.

10

20. A method according to claim 8 including the additional step of assessing the efficacy of the procedure.

15

21. Apparatus for reducing mitral regurgitation comprising:

a body having a distal end, a proximal end and an intermediate portion, the body being configured so that when the body is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral

20

- 50 -

valve, the distal and proximal ends will apply a
posteriorly-directed force to the walls of the
coronary sinus, and the intermediate portion will
apply an anteriorly-directed force to the walls of the
coronary sinus, whereby to move the posterior annulus
of the mitral valve anteriorly and thereby improve
leaflet coaptation.

22. Apparatus for reducing mitral regurgitation
comprising:

a substantially straight elongated body adapted
to be inserted into the coronary sinus of a patient in
the vicinity of the posterior leaflet of the mitral
valve, the length of the substantially straight
elongated body being sized relative to the natural
curvature of the coronary sinus in the vicinity of the
posterior leaflet of the mitral valve so that when the
substantially straight elongated body is positioned in
the coronary sinus, it will cause at least a portion
of the coronary sinus to assume a substantially
straight configuration adjacent to the posterior

- 51 -

leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus, moving it anteriorly, and thereby improve leaflet coaptation.

5 23. Apparatus for reducing mitral regurgitation comprising:

 a substantially rigid elongated body adapted to be inserted into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral
10 valve, the length of the substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially rigid elongated body is positioned in
15 the coronary sinus, it will cause at least a portion of the coronary sinus to assume a different configuration adjacent to the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

20

- 52 -

24. Apparatus for reducing mitral regurgitation comprising:

5 a straight, substantially rigid elongated body adapted to be inserted into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the straight, substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus, moving it anteriorly, and thereby improve leaflet coaptation.

10

15

25. Apparatus according to claim 24 further comprising a delivery catheter adapted to be positioned within the coronary sinus of the patient,

20

- 53 -

said flexible delivery catheter being formed out of a flexible material so that it will substantially assume the configuration of the coronary sinus, said delivery catheter being adapted to receive said straight, substantially rigid elongated body therein.

26. Apparatus according to claim 25 wherein said straight, substantially rigid elongated body is mounted to a rod, wherein said rod is formed out of a flexible material so that said rod will assume the configuration of the coronary sinus, and further wherein said rod is sized to fit within said delivery catheter.

27. Apparatus according to claim 24 further comprising a removable guidewire for positioning said delivery catheter in the coronary sinus.

28. Apparatus according to claim 24 further comprising a guidewire adapted to be positioned within the coronary sinus, said guidewire being formed out of

- 54 -

a flexible material so that it will substantially assume the configuration of the coronary sinus, and further wherein the straight, substantially rigid elongated body is cannulated for riding along said guidewire.

5

29. Apparatus according to claim 24 wherein at least one of the distal and proximal ends of said straight, substantially rigid elongated body includes a flexible portion for relieving the stress imposed on the coronary sinus when said straight, substantially rigid elongated body is disposed within the coronary sinus.

10

30. Apparatus according to claim 24 wherein at least one of the distal and proximal ends of said straight, substantially rigid elongated body is tapered for relieving the stress imposed on the coronary sinus when said straight, substantially rigid elongated body is disposed within the coronary sinus.

15

20

- 55 -

31. Apparatus according to claim 24 wherein said straight, substantially rigid elongated body has a length no longer than the segment of the coronary sinus located between the coronary ostium and the AIV.

5

32. Apparatus according to claim 25 wherein said apparatus further comprises a support catheter for preventing said delivery catheter from diverting into the inferior vena cava when said straight, substantially rigid elongated body is passed through said delivery catheter.

10

33. A method for reducing mitral regurgitation comprising:

15 inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to invert the natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior
20 leaflet of the mitral valve, whereby to move the

- 56 -

posterior annulus anteriorly and thereby improve
leaflet coaptation.

34. Apparatus for reducing mitral regurgitation
5 comprising:

an elongated body adapted to be inserted into the
coronary sinus of a patient in the vicinity of the
posterior leaflet of the mitral valve, the apparatus
being adapted to invert the natural curvature of at
10 least a portion of the coronary sinus in the vicinity
of the posterior leaflet of the mitral valve, whereby
to move the posterior annulus anteriorly and thereby
improve leaflet coaptation.

15 35. A method according to claim 8 wherein said
straight, substantially rigid elongated body is guided
into position without the use of a guide catheter and
a guidewire.

20

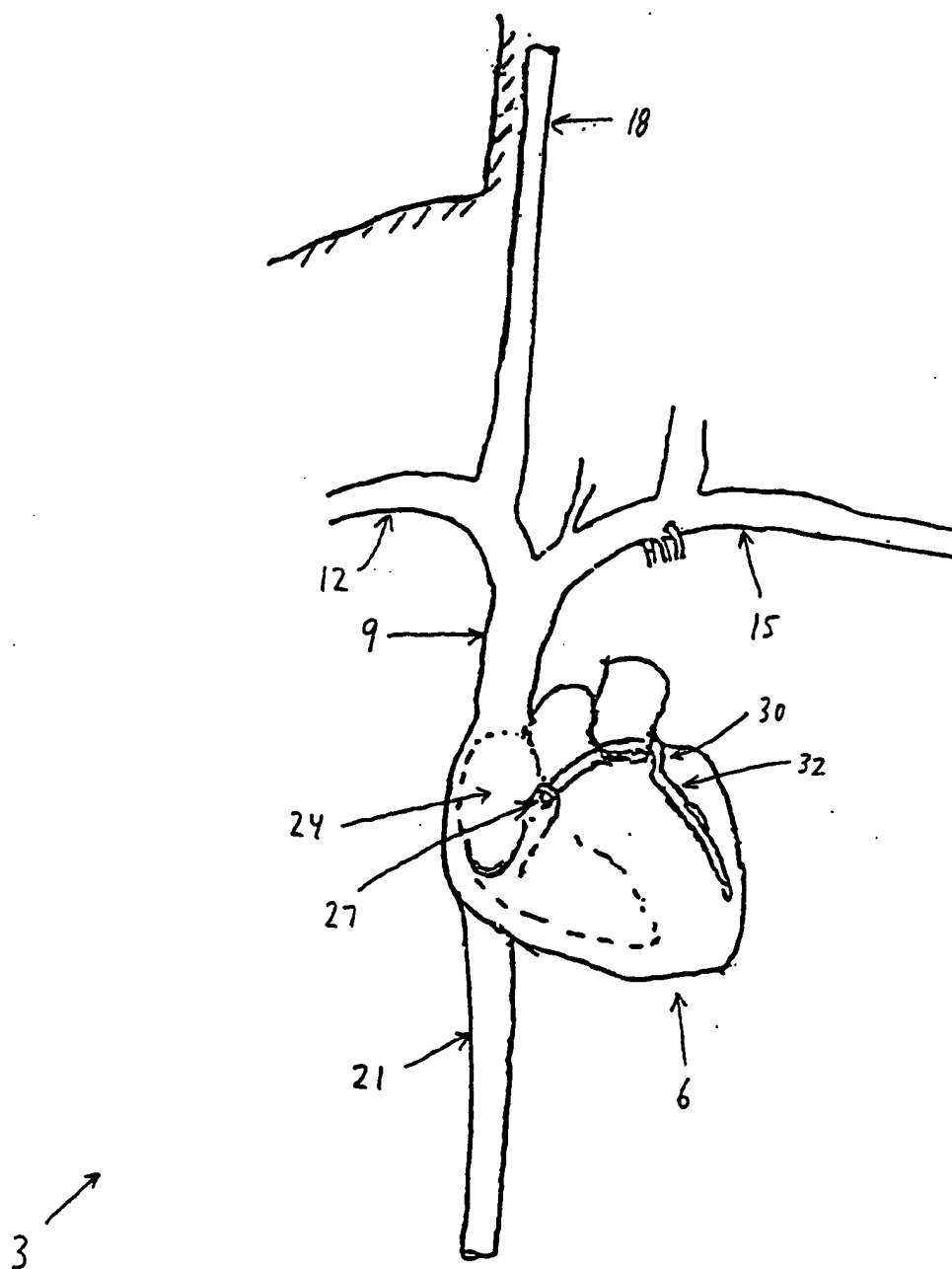


FIG. 1

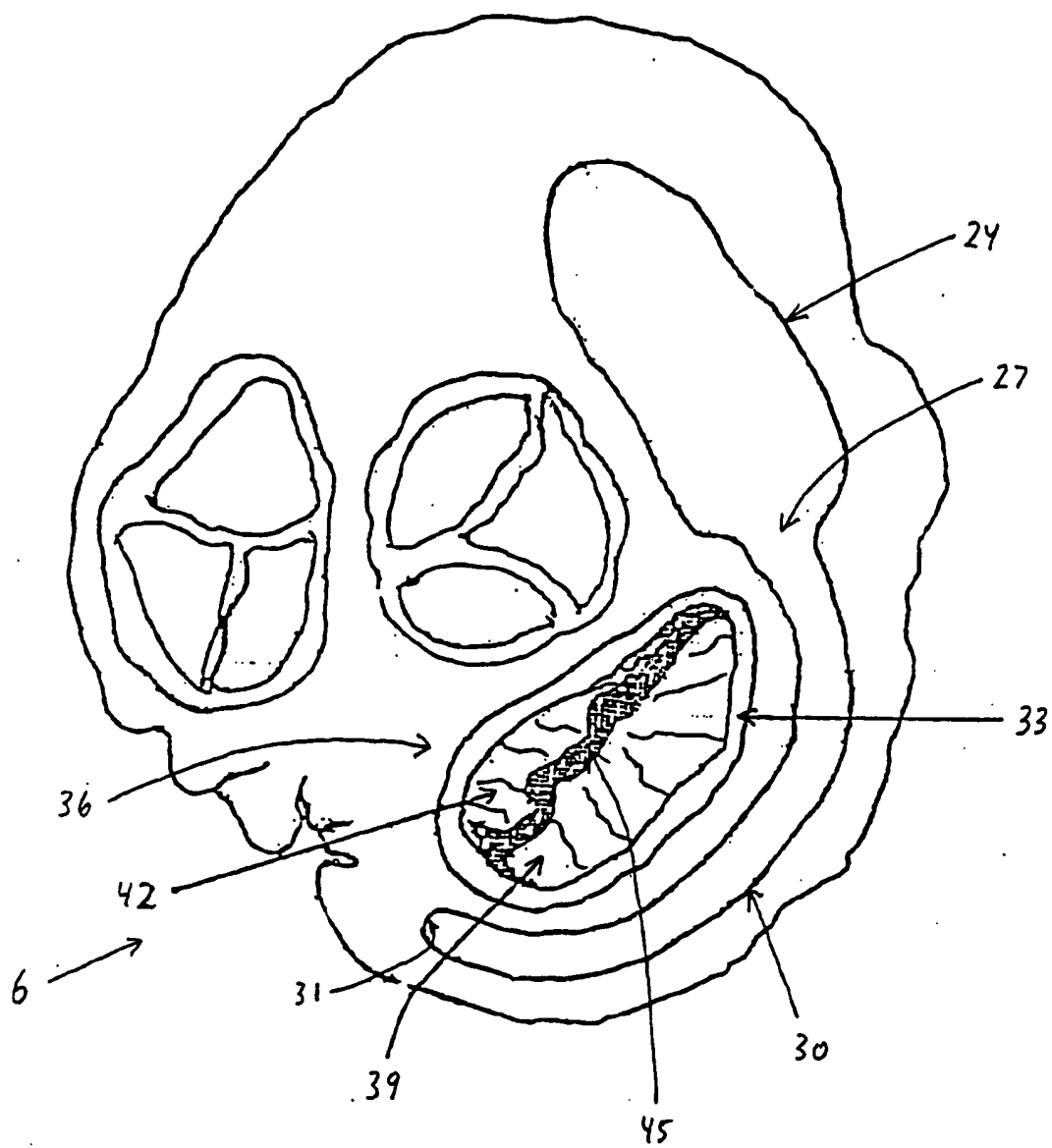


FIG. 2

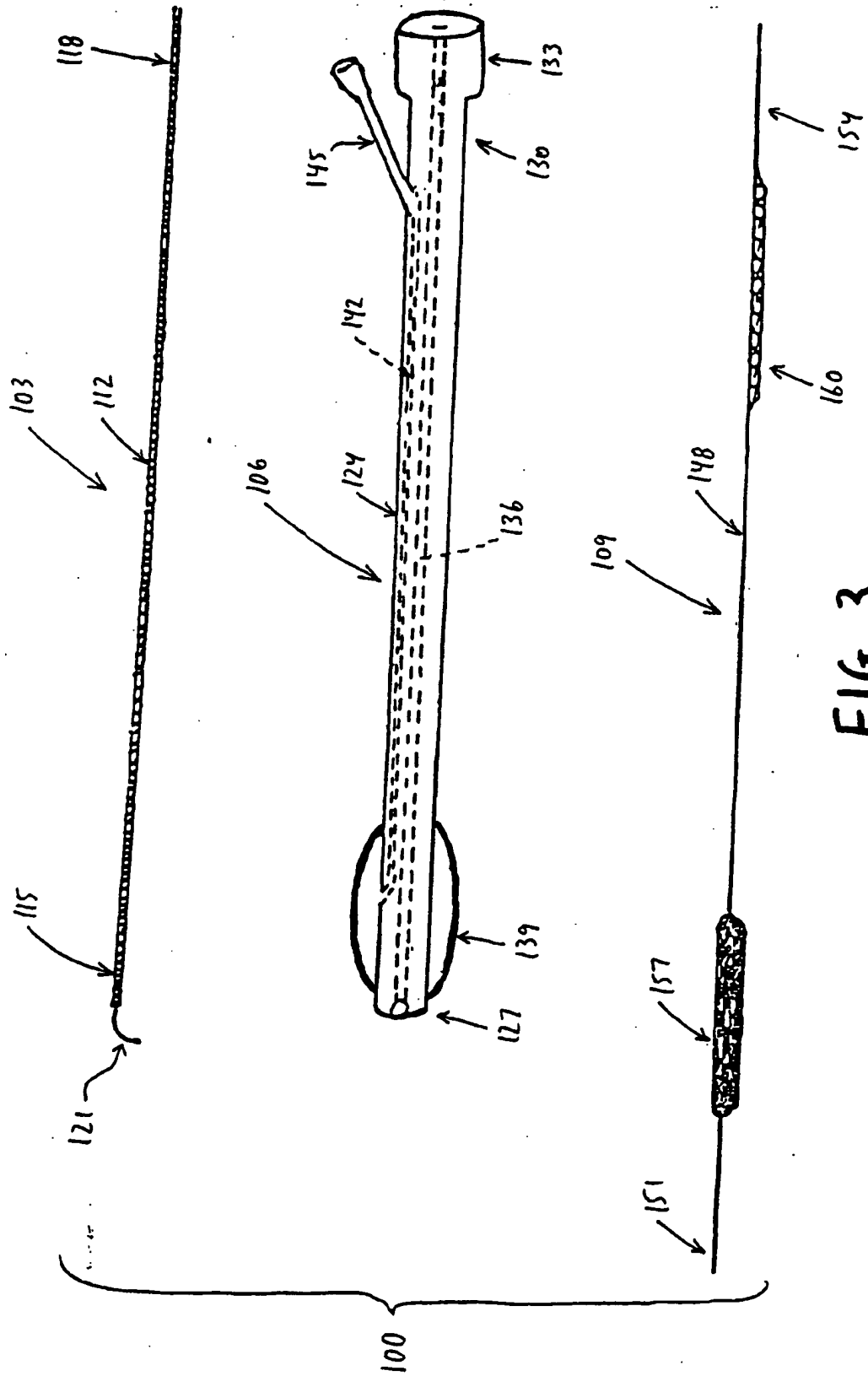
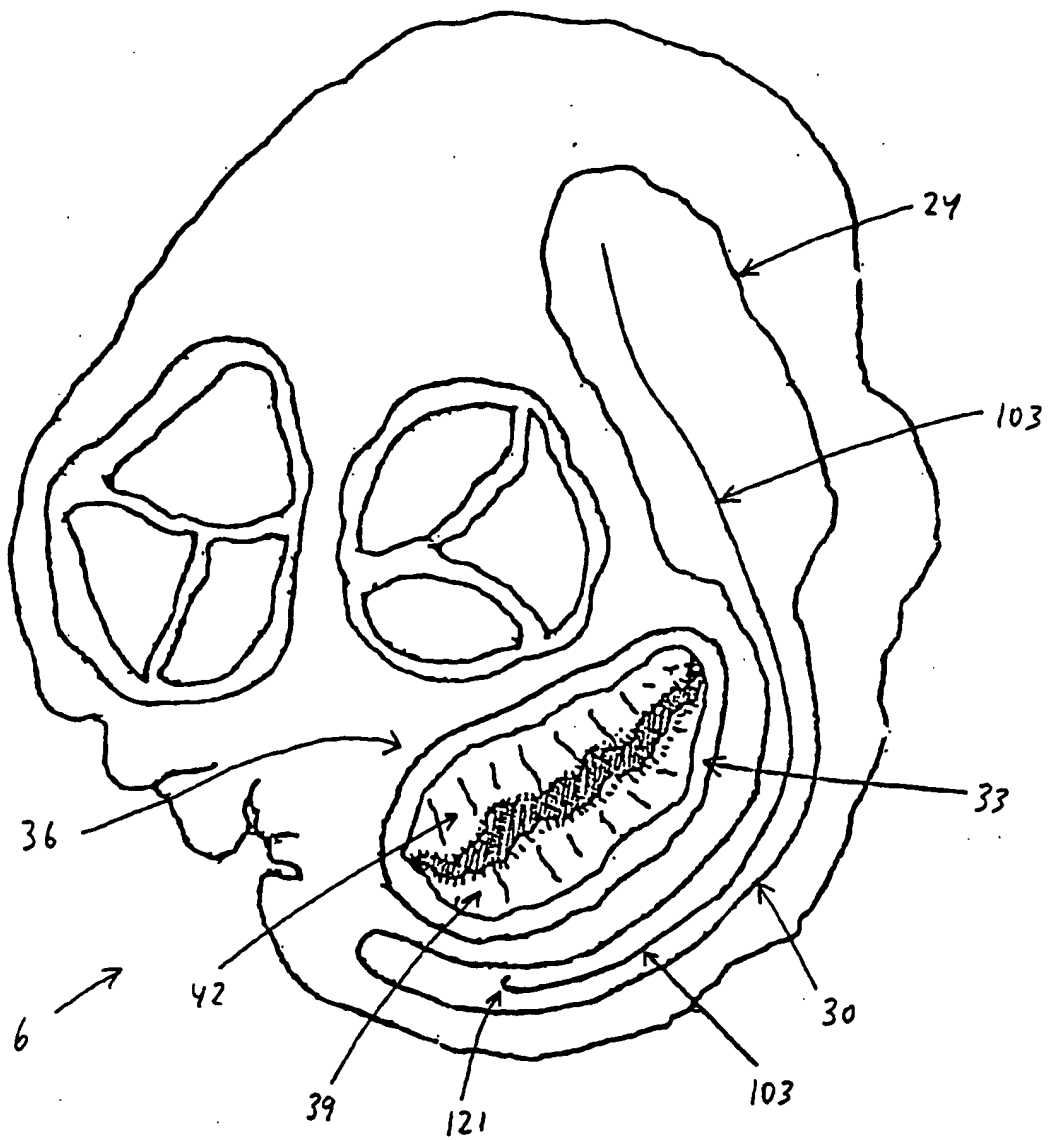


FIG. 3

**FIG. 4**

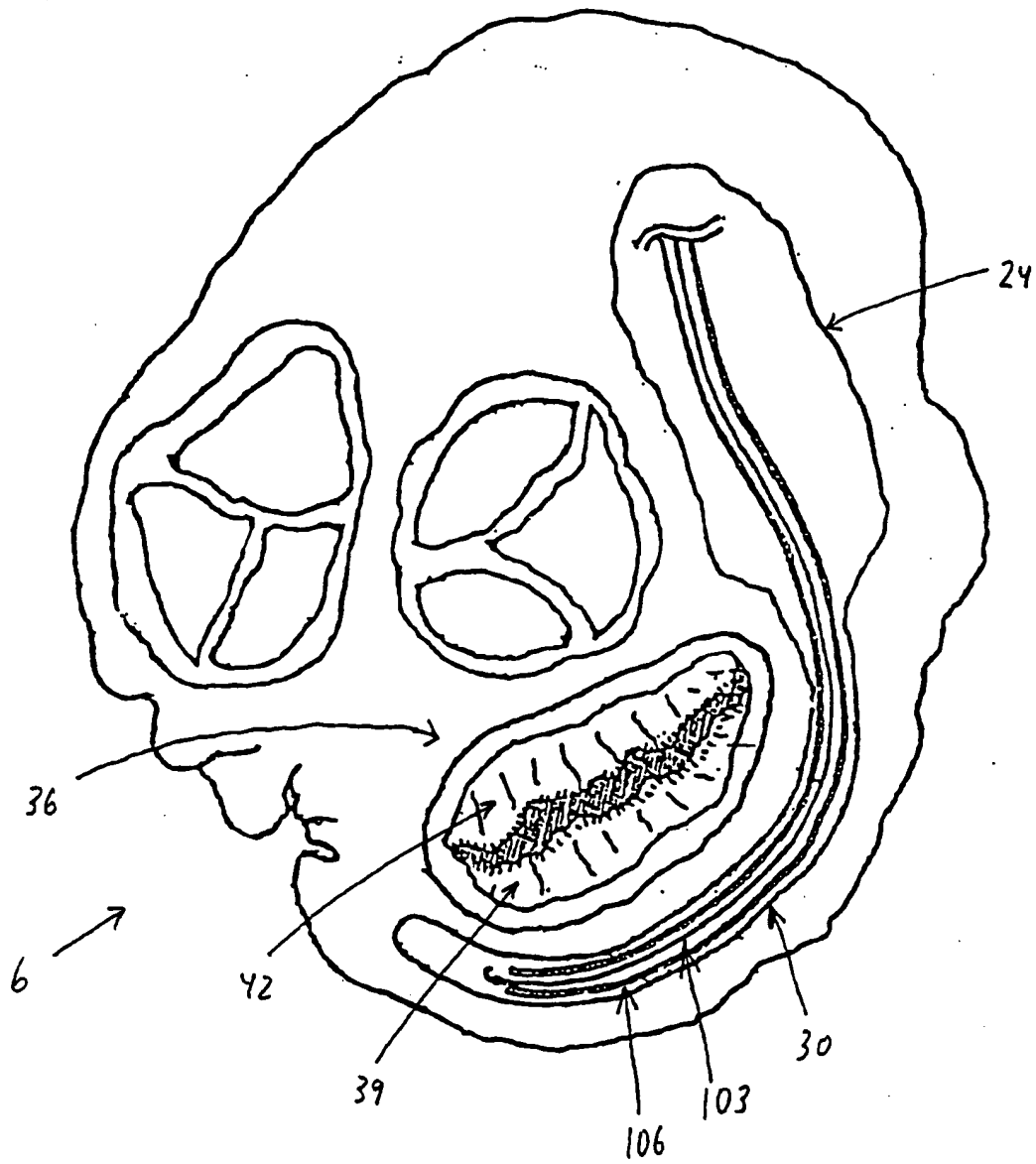


FIG. 5

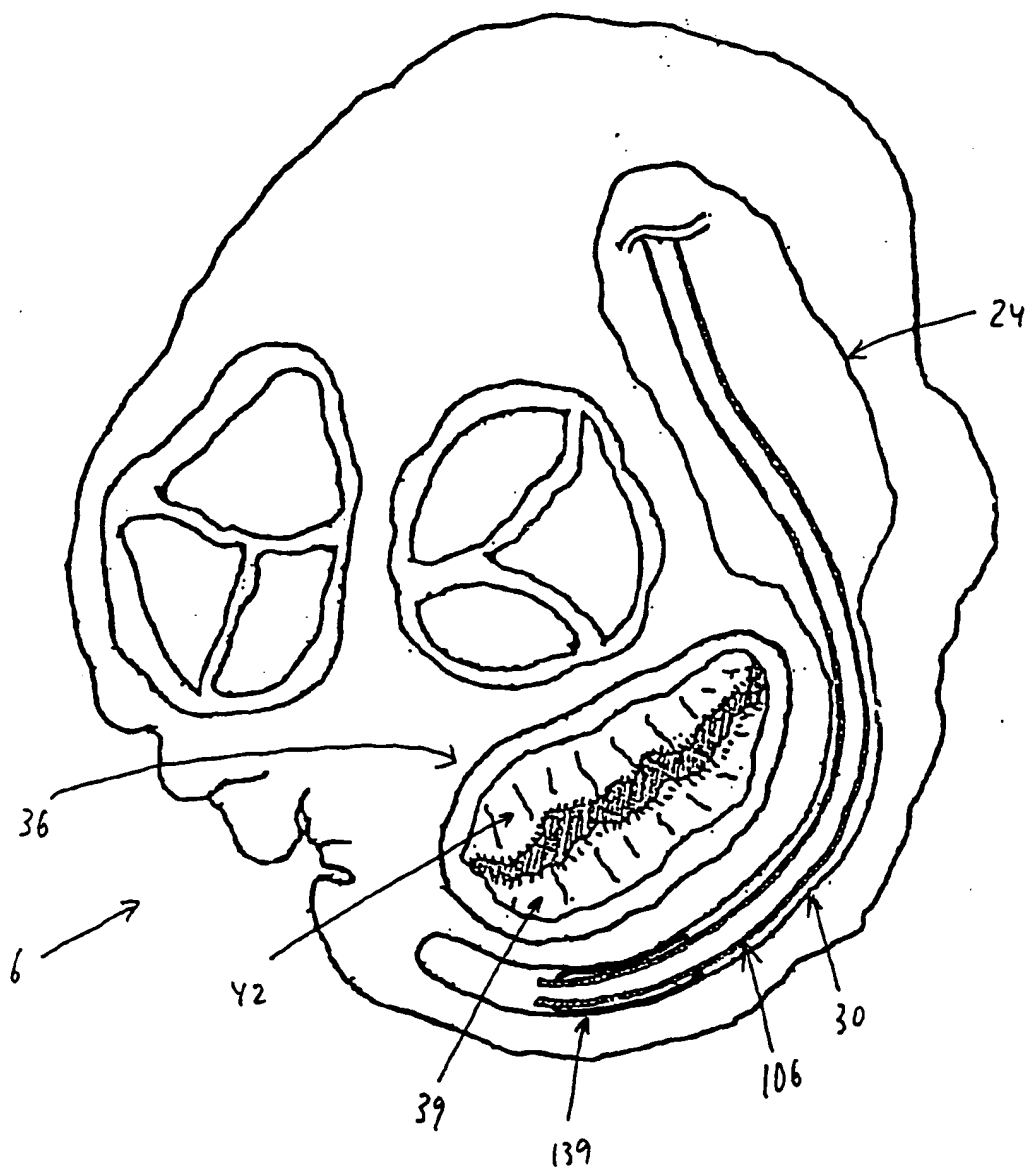


FIG. 6

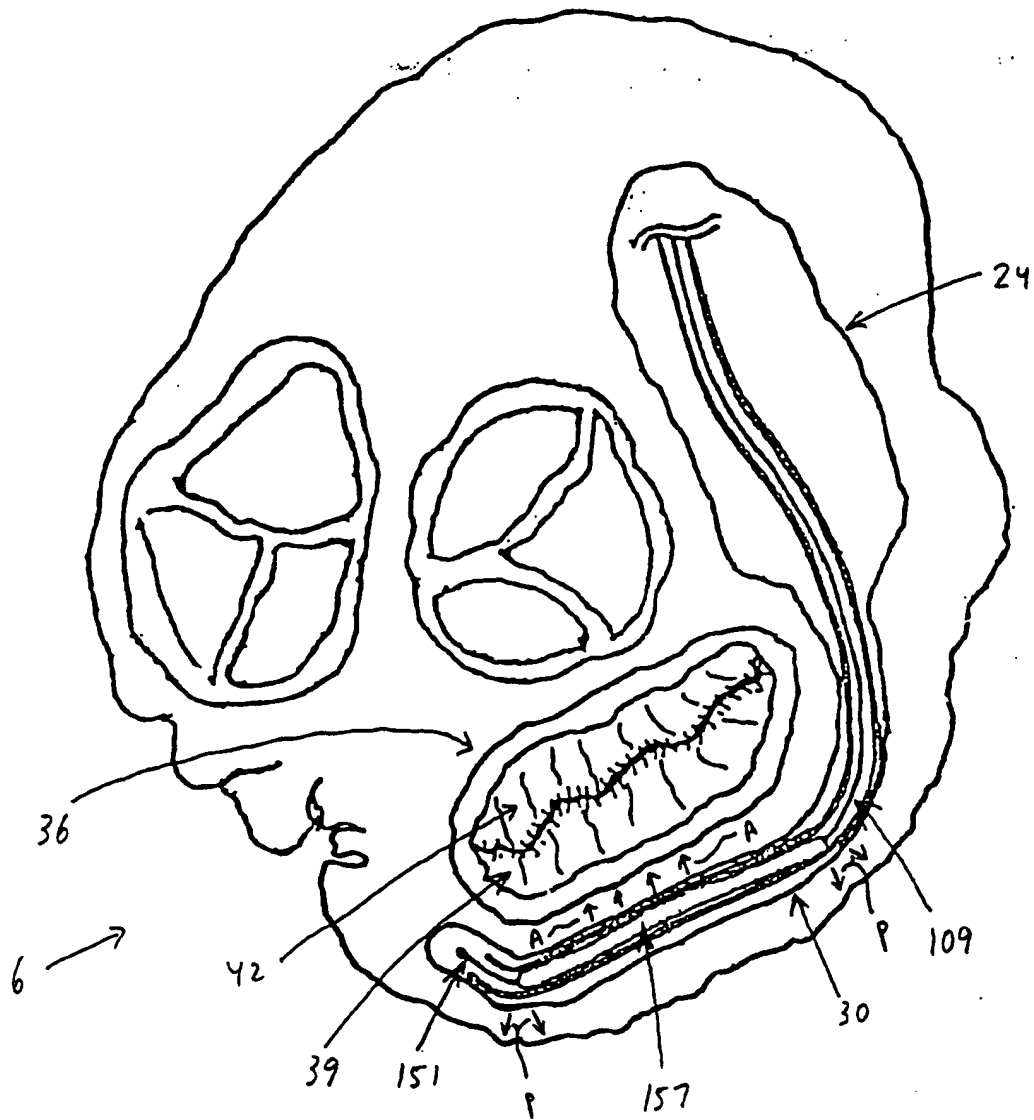
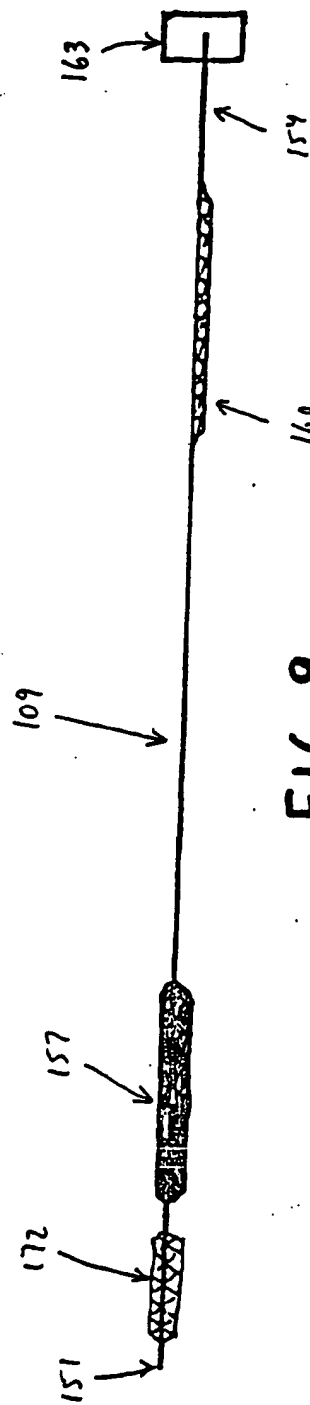
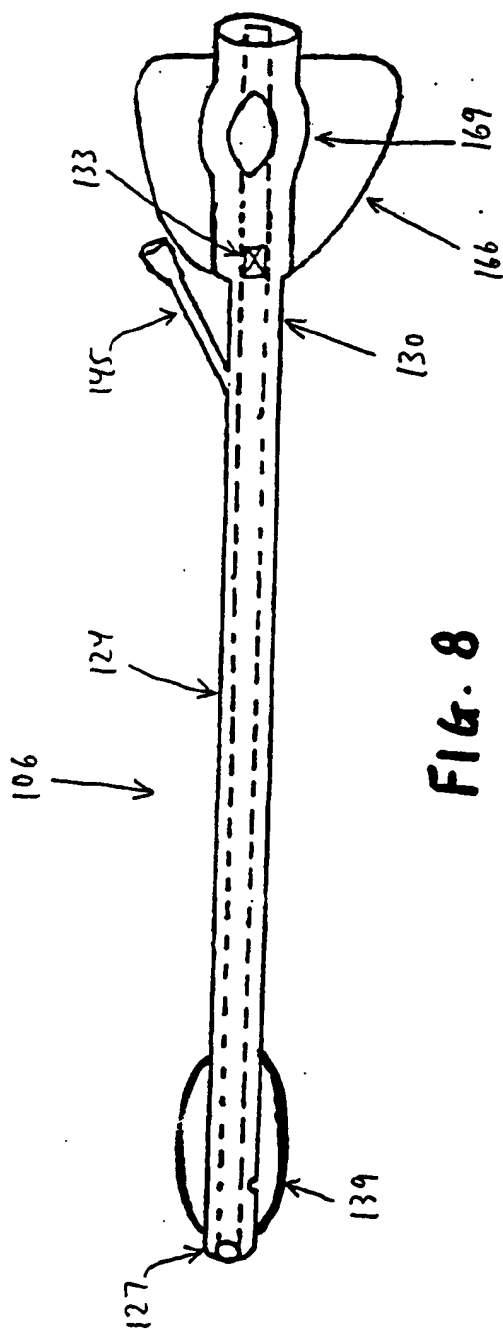


FIG. 7



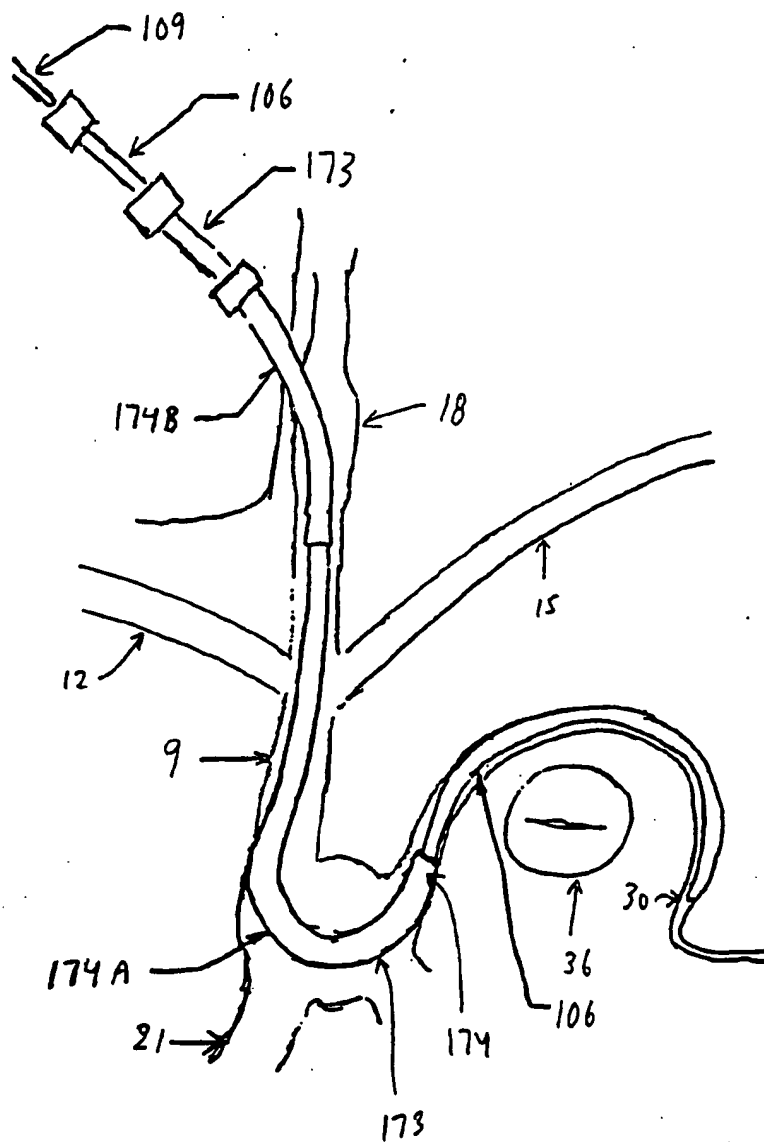
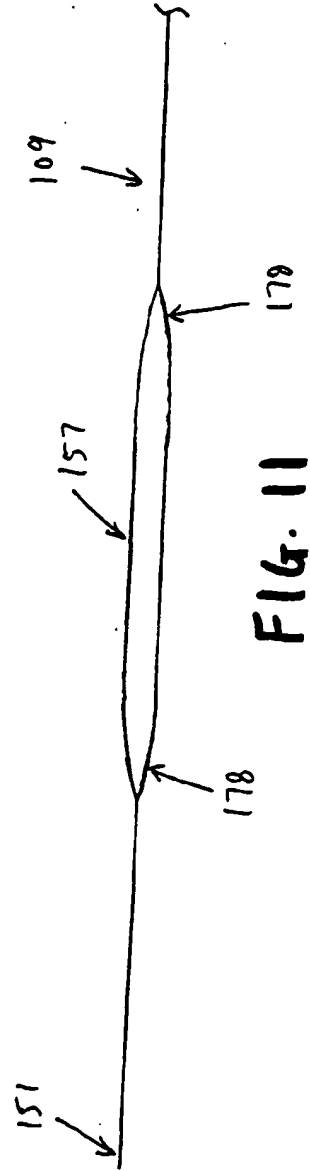
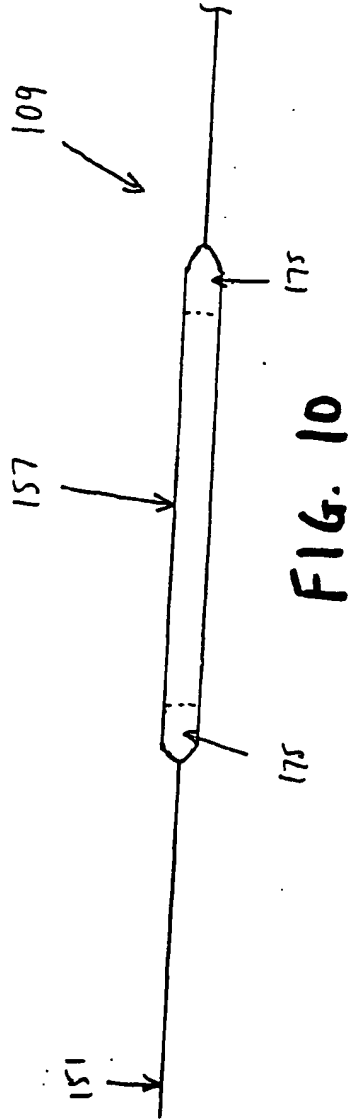


FIG. 9A



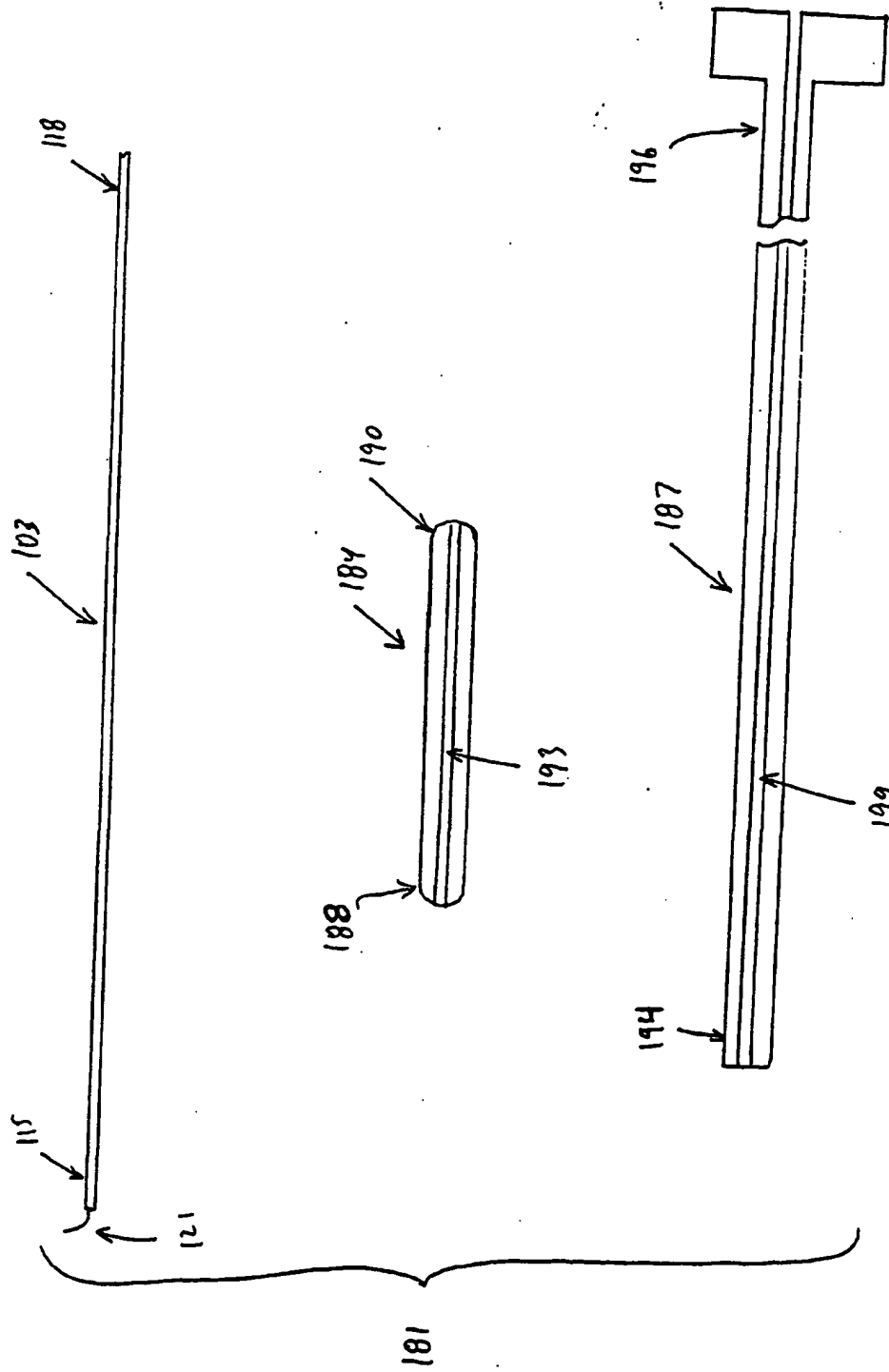


Fig. 12

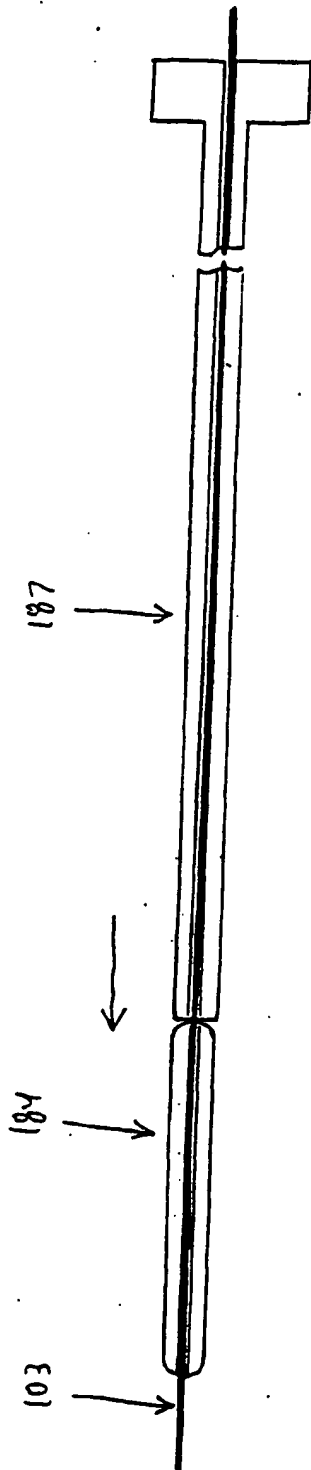


FIG. 13

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
15 August 2002 (15.08.2002)

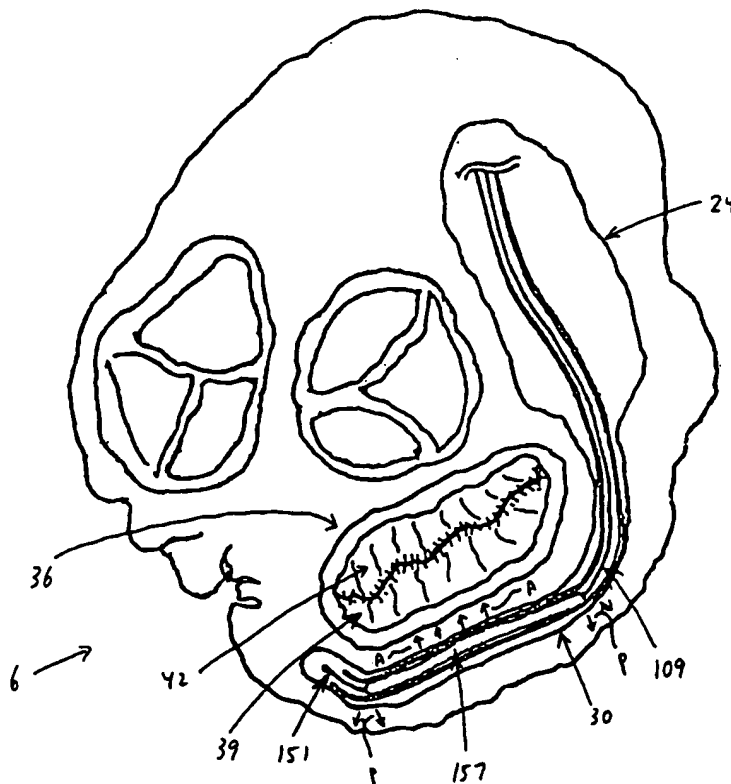
PCT

(10) International Publication Number
WO 02/062408 A3

- (51) International Patent Classification⁷: **A61F 2/06** 60/348,424 14 January 2002 (14.01.2002) US
- (21) International Application Number: PCT/US02/03550 (71) Applicant: **VIACOR, INC.** [US/US]; 66 H Concord Street, Wilmington, MA 01887 (US).
- (22) International Filing Date: 5 February 2002 (05.02.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
- | | | |
|------------|------------------------------|----|
| 60/266,766 | 5 February 2001 (05.02.2001) | US |
| 60/273,893 | 5 March 2001 (05.03.2001) | US |
| 60/278,153 | 23 March 2001 (23.03.2001) | US |
| 60/279,974 | 29 March 2001 (29.03.2001) | US |
| 60/279,973 | 29 March 2001 (29.03.2001) | US |
| 60/280,038 | 30 March 2001 (30.03.2001) | US |
| 60/283,820 | 13 April 2001 (13.04.2001) | US |
| 60/312,217 | 14 August 2001 (14.08.2001) | US |
| 60/339,481 | 26 October 2001 (26.10.2001) | US |
- (72) Inventors: **TAYLOR, Daniel, C.**; 35 Faneuil Street, Brighton, MA 02135 (US). **LIDICOAT, John, R.**; Barberry Farm, Barberry Road, Sewickley, PA 15143 (US). **STREETER, Richard, B.**; 66 Brookside Avenue, Winchester, MA 01890 (US). **WOOLFSON, Steven, B.**; 85 East India Road, #39G, Boston, MA 02110 (US). **COHN, William, E.**; 104 Lagrange Street, Chestnut Hill, MA 02167 (US). **DAVENPORT, Todd, F.**; 48 Salem Street, Andover, MA 01801 (US).
- (74) Agent: **PANDISCIO, Mark, J.**; Pandiscio & Pandiscio, 470 Totten Pond Road, Waltham, MA 02154-1914 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION



(57) Abstract: A method and apparatus (100) for reducing mitral regurgitation wherein the apparatus (100) is inserted into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus (100) comprises a guidewire (103), a delivery catheter (106) and a push rod (109) being adapted to straighten the natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation and reduce mitral regurgitation.

WO 02/062408 A3



CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,
SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,
NE, SN, TD, TG).

Published:

— with international search report


(84) **Designated States (regional):** ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent

(88) **Date of publication of the International search report:**
27 March 2003

*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US02/08560

A. CLASSIFICATION OF SUBJECT MATTER																										
IPC(7) : A61F 2/06 US CL : 623/1.11, 2.11, 2.36, 902, 904; 606/1, 213 According to International Patent Classification (IPC) or to both national classification and IPC																										
B. FIELDS SEARCHED																										
Minimum documentation searched (classification system followed by classification symbols) U.S. : 623/1.11, 2.11, 2.36, 902, 904; 606/1, 213																										
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched None																										
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST																										
C. DOCUMENTS CONSIDERED TO BE RELEVANT																										
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.																								
A	US 6,162,245 A (JAYARAMAN) 19 December 2000, see figs. 14-16.	1-35																								
A	US 5,332,402 A (TEITELBAUM) 26 July 1994, see figs. 1-3.	1-35																								
A	US 5,957,949 A (LEONHARDT et al.) 28 September 1999, see figs. 5-9D.	1-35																								
Y, E	US 6,419,696 B1 (ORTIZ et al.) 16 July 2002, see figs. 1-43.	1-35																								
Y, P	US 6,187,040 B1 (WRIGHT) 13 February 2001, see figs. 1-15.	1-35																								
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.																										
<table border="0"> <tr> <td colspan="2">* Special categories of cited documents:</td> <td>"T"</td> <td>later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>"A"</td> <td>document defining the general state of the art which is not considered to be of particular relevance</td> <td>"X"</td> <td>document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>"E"</td> <td>earlier document published on or after the international filing date</td> <td>"Y"</td> <td>document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>"L"</td> <td>document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td>"Z"</td> <td>document member of the same patent family</td> </tr> <tr> <td>"O"</td> <td>document referring to an oral disclosure, use, exhibition or other means</td> <td></td> <td></td> </tr> <tr> <td>"P"</td> <td>document published prior to the international filing date but later than the priority date claimed</td> <td></td> <td></td> </tr> </table>			* Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	"E"	earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z"	document member of the same patent family	"O"	document referring to an oral disclosure, use, exhibition or other means			"P"	document published prior to the international filing date but later than the priority date claimed		
* Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention																							
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone																							
"E"	earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art																							
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z"	document member of the same patent family																							
"O"	document referring to an oral disclosure, use, exhibition or other means																									
"P"	document published prior to the international filing date but later than the priority date claimed																									
Date of the actual completion of the international search 06 AUGUST 2002		Date of mailing of the international search report 07 JAN 2003																								
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20251 Facsimile No. (703) 306-3230		Authorized officer  (JACKIE) TAN-UYEN THI HO Telephone No. (703) 306-3421																								

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.